SEC Form 3 FORM 3

## UNITED STATES SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

OMB APPROVAL

3235-OMB Number: 0104

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and <u>Tata Raji</u>	Address of Rep <u>v A.</u>	orting Person <sup>*</sup>	2. Date of Requiring (Month/Da 01/24/20	Statement y/Year)	3. Issuer Name and Ticker or Trading Symbol DUCOMMUN INC /DE/ [ DCO ]					
(Last) (First) (Middle) 200 SANDPOINTE AVENUE SUITE 700					4. Relationship of Reporting Issuer (Check all applicable) Director X Officer (give title below)	y Person(s) to 10% Owner Other (specify below) Counsel		<ul> <li>5. If Amendment, Date of Original Filed (Month/Day/Year) 01/30/2020</li> <li>6. Individual or Joint/Group Filing (Check Applicable Line)</li> <li>X Form filed by One Reporting Person Form filed by More than One Reporting Person</li> </ul>		
(Street) SANTA CA 92707 ANA		_		v.P., General C						
(City)	(State)	(Zip)								
Table I - Non-Derivative Securities Beneficially Owned										
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4)	Form: D (D) or Ir	Ownership orm: Direct ) or Indirect (Instr. 5)		Nature of Indirect Beneficial vnership (Instr. 5)	
Common Stock <sup>(1)</sup>					2,124 <sup>(1)</sup>		2			
Table II - Derivative Securities Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities)										
´`´´ E			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Sec Underlying Derivative Sec (Instr. 4)		rity Conver or Exer		5. Ownership Form:	Ownership (Instr.
		Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security		Direct (D) or Indirect (I) (Instr. 5)	5)	
Stock Optio	on <sup>(2)</sup>		04/10/2020 <sup>(3)</sup>	04/10/2024	Common Stock	375	29		D	
Stock Optic	on <sup>(2)</sup>		05/14/2020 <sup>(4)</sup>	05/14/2028	Common Stock	1,834	32.9		D	
Stock Option <sup>(2)</sup>		06/17/2020 <sup>(5)</sup>	06/17/2029	Common Stock	5,840	42.2	5	D		
Stock Option <sup>(2)</sup>		10/10/2020 <sup>(6)</sup>	10/10/2029	Common Stock	2,500	40.4	4	D		

## **Explanation of Responses:**

1. The amount listed includes: (1) 166 restricted stock units ("RSU"s) that vest on April 10, 2020; (2) 500 RSUs that will vest in in equal annual amounts on each of May 14, 2020 and 2021; (3) 590 RSUs that will vest in three equal annual amounts on each of June 17, 2020, 2021 and 2022; (4) 342 stock options previously exercised; and (5) 174 shares of common stock purchased through Ducommun Incorporated's Employee Stock Purchase Plan.

2. This option represents the right to purchase common stock granted under Ducommun Incorporated's employee stock option plans, which are Rule 16b-3 plans.

3. The option will vest in two equal installments on April 10, 2020 and 2021.

4. This option will vest in two equal installments on May 14, 2020 and 2021.

5. This option will vest in three equal installments on June 17, 2020, 2021 and 2022.

6. This option will vest in three equal installments on October 10, 2020, 2021 and 2022.

## **Remarks:**

This amendment is being filed solely to correct a few clerical errors in the original Form 3 filed by the reporting person which inadvertently excluded: (1) from the number of securities beneficially owned by the reporting person as of January 24, 2020, 1,256 unvested restricted stock units, and (2) details regarding the number of derivative securities beneficially owned by the reporting person as of January 24, 2020.

> Rajiv A. Tata \*\* Signature of Reporting Person

04/13/2020

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.